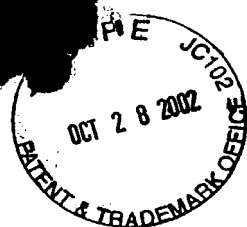


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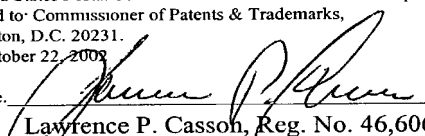


Docket No. 11746/46003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : ROTHMAN et al.  
Serial No. : 10/052,578  
Filing/Receipt Date : January 17, 2002  
For: : HEAT SHOCK PROTEIN-BASED VACCINES AND  
IMMUNOTHERAPIES

Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231.  
Date. October 22, 2002  
Signature.   
Lawrence P. Casson, Reg. No. 46,606

In response to the Notice of Incomplete Reply (Nonprovisional), dated October 15, 2002,  
Applicants submit herewith the following:

Forty-four (44) sheets of Substitute Drawings which are believed to comply with the requirements of 37 CFR 1.84 and 37 CFR 1.121 and which are to be substituted for the drawings as originally filed. Also enclosed is a copy of the October 15, 2002 Notice of Incomplete Reply.

The Notice states that a complete reply must be filed to prevent abandonment of the above-identified application and that the period of reply remains as set forth in the Notice to File Missing Parts mailed February 15, 2002. Applicants filed a Response to Notice to File Missing Parts and request for a five-month extension of time on September 16, 2002. The September 16, 2002 date was the fifth and final extension of time and therefore there were no further extensions of time from the original date of the Notice to File Missing Parts. However, we were informed by Ms. Valerie Kinard of the Initial Patent Examination Division that the response to the October 15, 2002 Notice of Incomplete Reply is being timely filed today, October 22, 2002, since the response is being filed one (1) day after receipt of the Notice from the United States Patent Office.

Applicants respectfully request acceptance of the enclosed forty-four (44) sheets of Substitute Drawings and continued prosecution for this patent application.

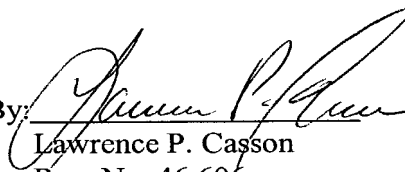
The Commissioner is authorized to charge any fees or credit any overpayment associated with this Reply to Deposit Account No. 11-0600. A copy of this Response is enclosed for charging purposes.

Respectfully submitted,

KENYON & KENYON

Dated: October 22, 2002

By:

  
Lawrence P. Casson  
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10052578 - 10052578 Page 1 of 1

Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/052,578	01/17/2002	James E. Rothman	11746/46003

26646  
KENYON & KENYON  
ONE BROADWAY  
NEW YORK, NY 10004

CONFIRMATION NO. 9899

## FORMALITIES LETTER



\*OC000000008949434\*

Date Mailed: 10/15/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 09/19/2002 to the Notice to File Missing Parts (Notice) mailed 02/15/2002 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) fig19A-E, 8G.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE